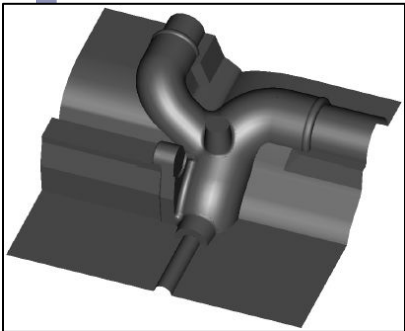
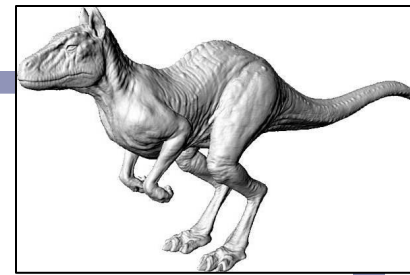




# *Further Graphics*



## *NURBS* Non-Uniform Rational B-Splines



# NURBS curves

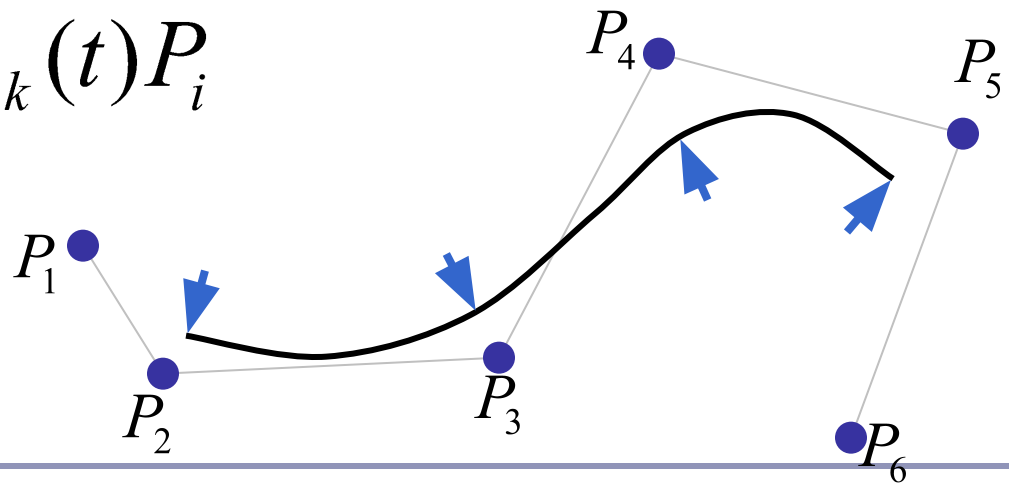
---

Like Bezier cubics, NURBS curves are parametric

Their shape is determined by:

- control points,  $P_i$
- the *NURBS basis functions*,  $N_{i,k}$

$$P(t) = \sum_{i=1}^n N_{i,k}(t) P_i$$



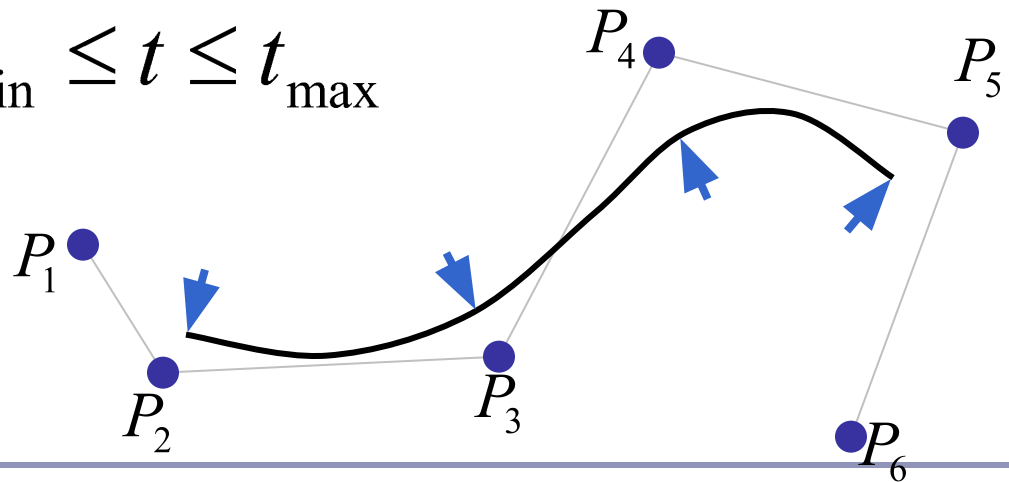
# Properties of NURBS curves

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1. The basis functions must sum to 1.0

$$P(t) = \sum_{i=1}^n N_{i,k}(t)P_i \rightarrow$$

$$\sum_{i=1}^n N_{i,k}(t) = 1, t_{\min} \leq t \leq t_{\max}$$

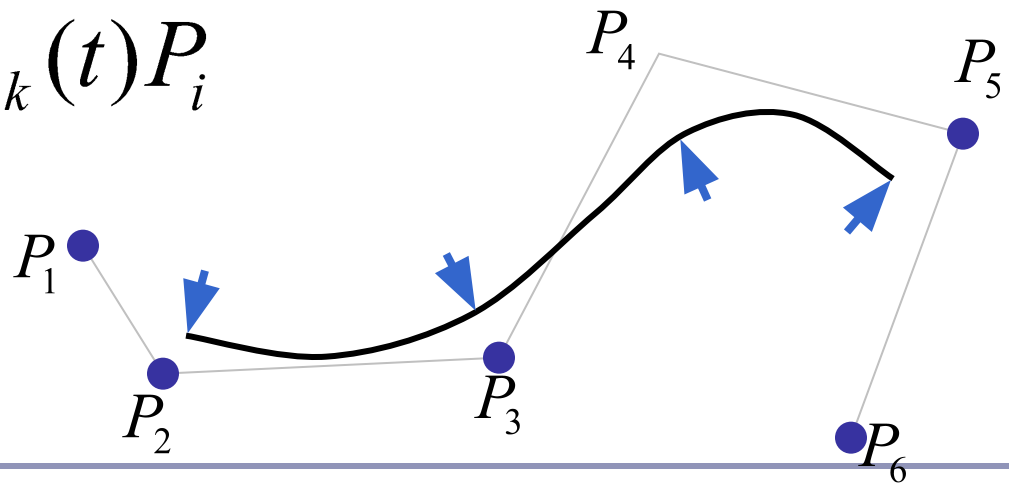


# Properties of NURBS curves

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2. The basis functions are calculated from a *knot vector*
  - This is a non-decreasing sequence of real numbers
    - e.g. [0,0,0,1,1,1]
    - or [1,2,3,4,5,6]
    - or [1.2, 3.4, 5.6, 5.6, 7.2, 15.6]

$$P(t) = \sum_{i=1}^n N_{i,k}(t) P_i$$

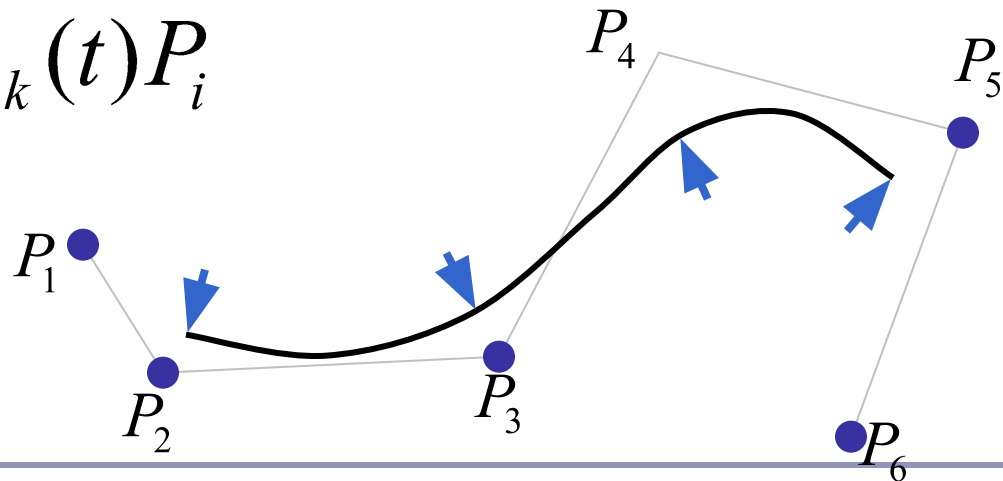


# Properties of NURBS curves

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3. If the basis functions are  $C_m$ -continuous at  $t$ , then  $P(t)$  is guaranteed to be  $C_m$ -continuous at  $t$
- So continuity depends only on the basis functions,  $N_{i,k}$ 
    - Continuity **does not depend** on the locations of the control points

$$P(t) = \sum_{i=1}^n N_{i,k}(t) P_i$$



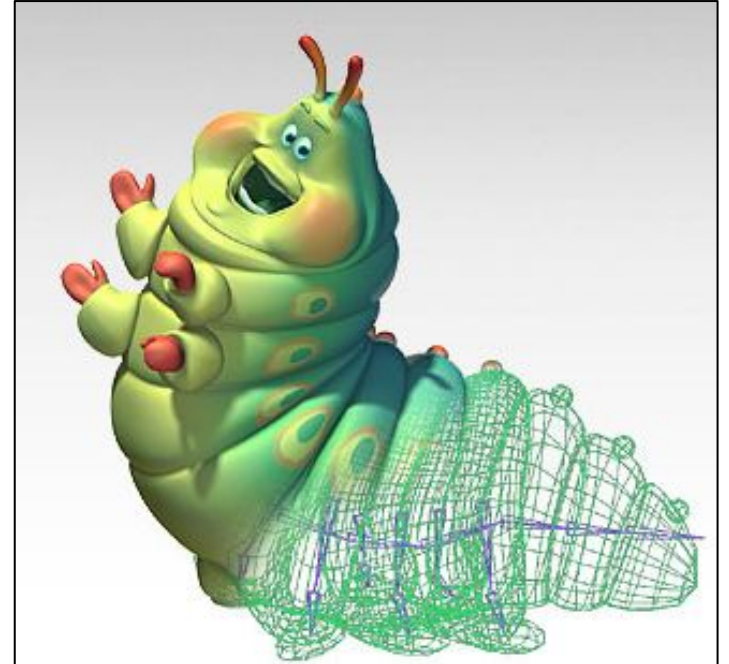
# Properties of NURBS surfaces

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NURBS surfaces are a bivariate generalisation of the univariate NURBS curve

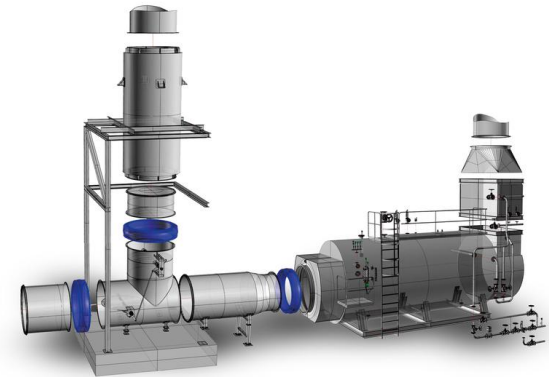
$$P(t) = \sum_{i=1}^n N_{i,k}(t) P_i$$

$$P(s, t) = \sum_{i=1}^m \sum_{j=1}^n N_{i,k}(s) N_{j,k}(t) P_{i,j}$$



# NURBS

- *NURBS* (“*Non-Uniform Rational B-Splines*”) are a generalization of the Bezier curve concept:
  - *NU: Non-Uniform*. The knots in the knot vector are not required to be uniformly spaced.
  - *R: Rational*. The spline may be defined by rational polynomials (homogeneous coordinates.)
  - *BS: B-Spline*. A generalization of Bezier splines with controllable degree.

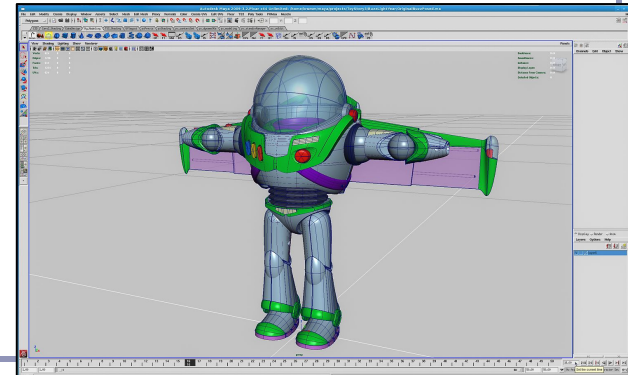


# B-Splines

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We'll build our definition of a B-spline from:

- $d$ , the *degree* of the curve
- $k = d+1$ , called the *parameter* of the curve
- $\{P_1 \dots P_n\}$ , a list of  $n$  *control points*
- $[t_1, \dots, t_{k+n}]$ , a *knot vector* of  $(k+n)$  parameter values (“knots”)
- $d = k-1$  is the degree of the curve, so  $k$  is the number of control points which influence a single interval
  - Ex: a cubic ( $d=3$ ) has four control points ( $k=4$ )
- There are  $k+n$  knots  $t_i$ , and  $t_i \leq t_{i+1}$  for all  $t_i$
- Each B-spline is  $C^{(k-2)}$  continuous:  
*continuity* is degree minus one,  
so a  $k=3$  curve has  $d=2$  and is  $C1$





# B-Splines

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- A B-spline curve is defined between  $t_{min}$  and  $t_{max}$ :

$$P(t) = \sum_{i=1}^n N_{i,k}(t)P_i, \quad t_{min} \leq t < t_{max}$$

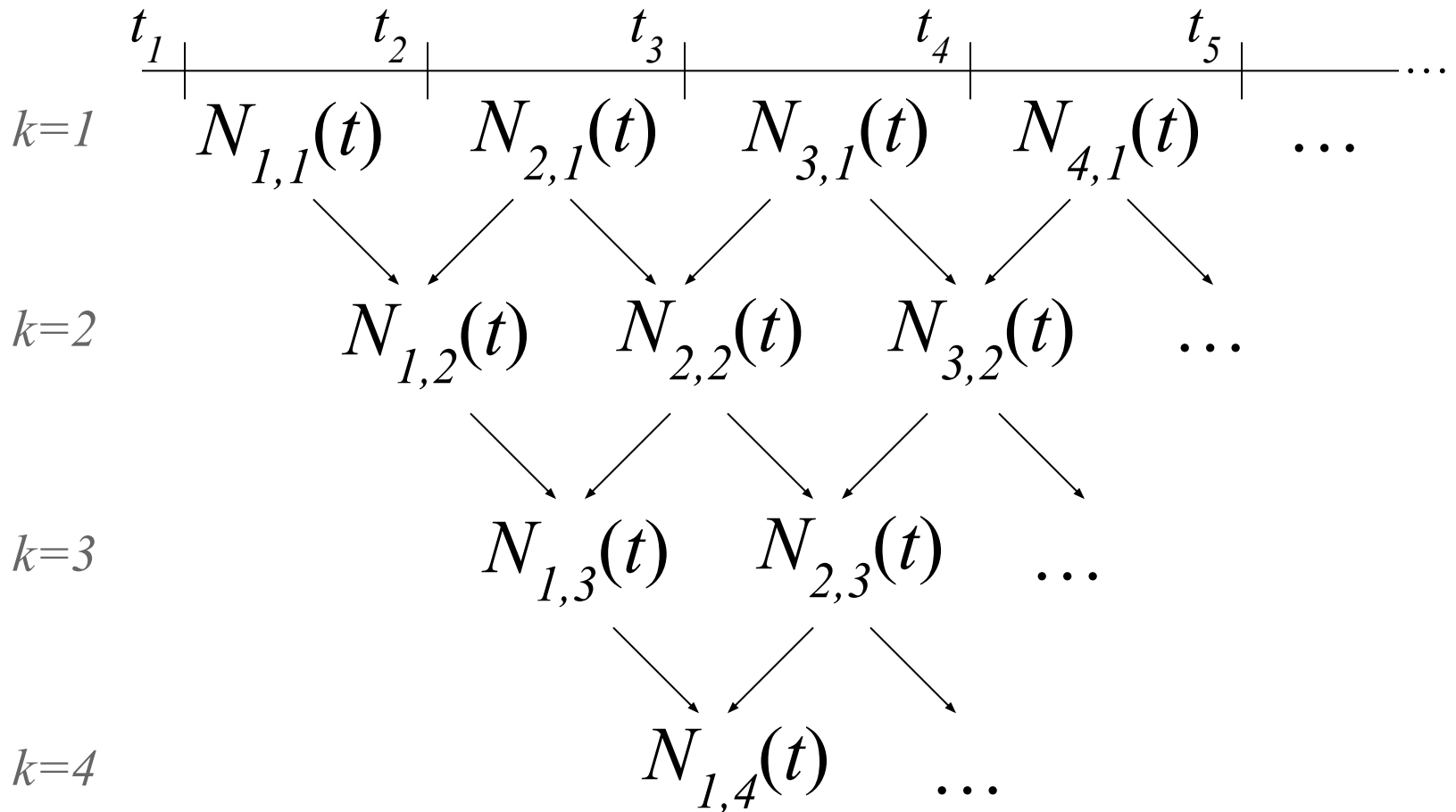
- $N_{i,k}(t)$  is the *basis function* of control point  $P_i$  for parameter  $k$ .  $N_{i,k}(t)$  is defined recursively:

$$N_{i,1}(t) = \begin{cases} 1, & t_i \leq t < t_{i+1} \\ 0, & \text{otherwise} \end{cases}$$

$$N_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} N_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} N_{i+1,k-1}(t)$$

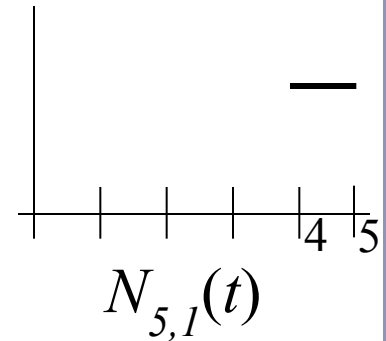
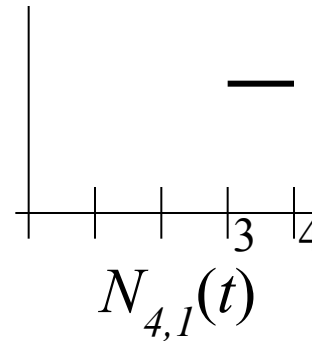
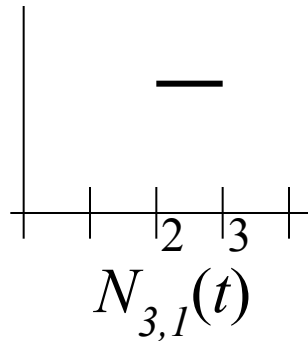
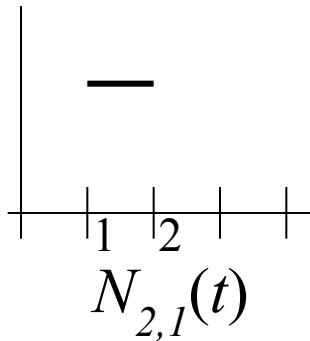
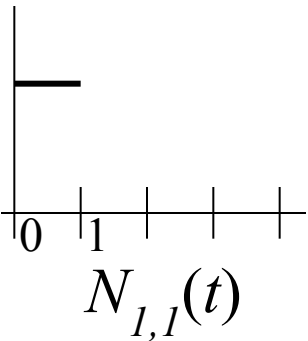
# B-Splines

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# B-Splines

$$N_{i,1}(t) = \begin{cases} 1, & t_i \leq t < t_{i+1} \\ 0, & \text{otherwise} \end{cases}$$



$t_1 = 0.0$   
 $t_2 = 1.0$   
 $t_3 = 2.0$   
 $t_4 = 3.0$   
 $t_5 = 4.0$   
 $t_6 = 5.0$

$$N_{1,1}(t) = 1, 0 \leq t < 1$$

$$N_{2,1}(t) = 1, 1 \leq t < 2$$

$$N_{3,1}(t) = 1, 2 \leq t < 3$$

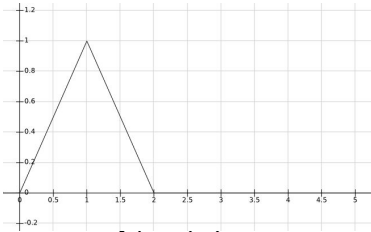
$$N_{4,1}(t) = 1, 3 \leq t < 4$$

$$N_{5,1}(t) = 1, 4 \leq t < 5$$

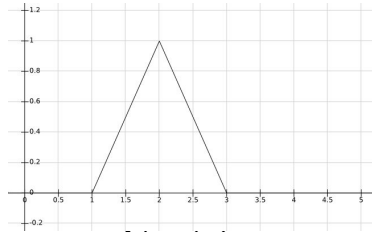
Knot vector =  $\{0, 1, 2, 3, 4, 5\}$ ,  $k = 1 \rightarrow d = 0$  (degree = zero) <sup>11</sup>

# B-Splines

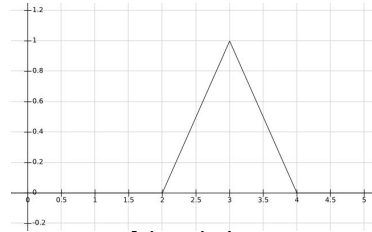
$$N_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} N_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} N_{i+1,k-1}(t)$$



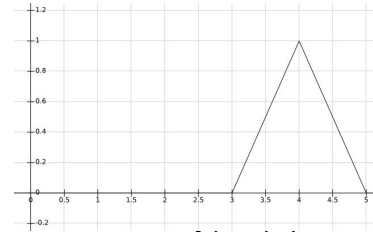
$N_{1,2}(t)$



$N_{2,2}(t)$



$N_{3,2}(t)$



$N_{4,2}(t)$

$$N_{1,2}(t) = \frac{t - 0}{1 - 0} N_{1,1}(t) + \frac{2 - t}{2 - 1} N_{2,1}(t) = \begin{cases} t & 0 \leq t < 1 \\ 2 - t & 1 \leq t < 2 \end{cases}$$

$$N_{2,2}(t) = \frac{t - 1}{2 - 1} N_{2,1}(t) + \frac{3 - t}{3 - 2} N_{3,1}(t) = \begin{cases} t - 1 & 1 \leq t < 2 \\ 3 - t & 2 \leq t < 3 \end{cases}$$

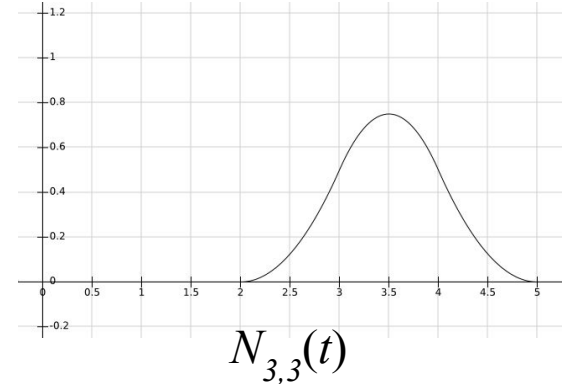
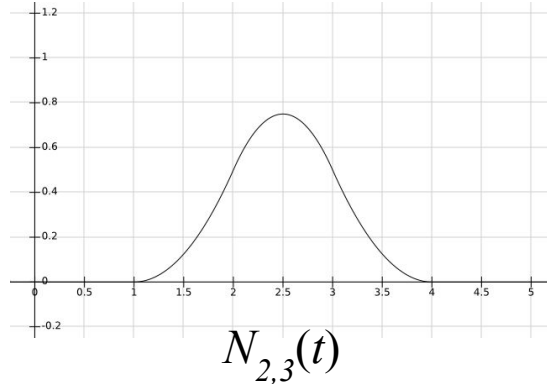
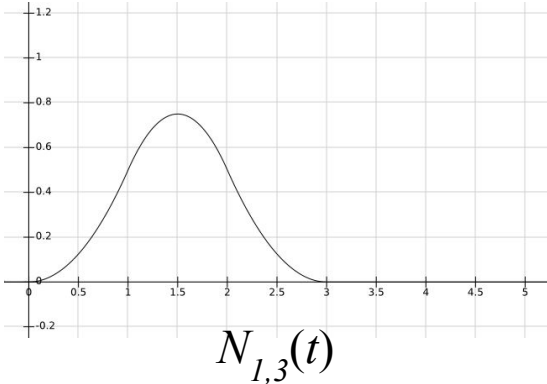
$$N_{3,2}(t) = \frac{t - 2}{3 - 2} N_{3,1}(t) + \frac{4 - t}{4 - 3} N_{4,1}(t) = \begin{cases} t - 2 & 2 \leq t < 3 \\ 4 - t & 3 \leq t < 4 \end{cases}$$

$$N_{4,2}(t) = \frac{t - 3}{4 - 3} N_{4,1}(t) + \frac{5 - t}{5 - 4} N_{5,1}(t) = \begin{cases} t - 3 & 3 \leq t < 4 \\ 5 - t & 4 \leq t < 5 \end{cases}$$

Knot vector =  $\{0, 1, 2, 3, 4, 5\}$ ,  $k = 2 \rightarrow d = 1$  (degree = one) <sup>12</sup>

# B-Splines

$$N_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} N_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} N_{i+1,k-1}(t)$$



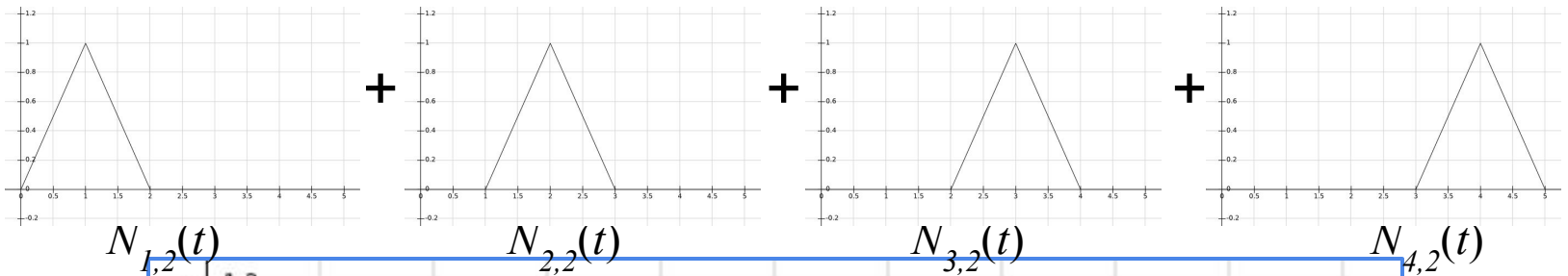
$$N_{1,3}(t) = \frac{t-0}{2-0} N_{1,2}(t) + \frac{3-t}{3-1} N_{2,2}(t) = \begin{cases} t^2/2 & 0 \leq t < 1 \\ -t^2 + 3t - 3/2 & 1 \leq t < 2 \\ (3-t)^2/2 & 2 \leq t < 3 \end{cases}$$

$$N_{2,3}(t) = \frac{t-1}{3-1} N_{2,2}(t) + \frac{4-t}{4-2} N_{3,2}(t) = \begin{cases} (t-1)^2/2 & 1 \leq t < 2 \\ -t^2 + 5t - 11/2 & 2 \leq t < 3 \\ (4-t)^2/2 & 3 \leq t < 4 \end{cases}$$

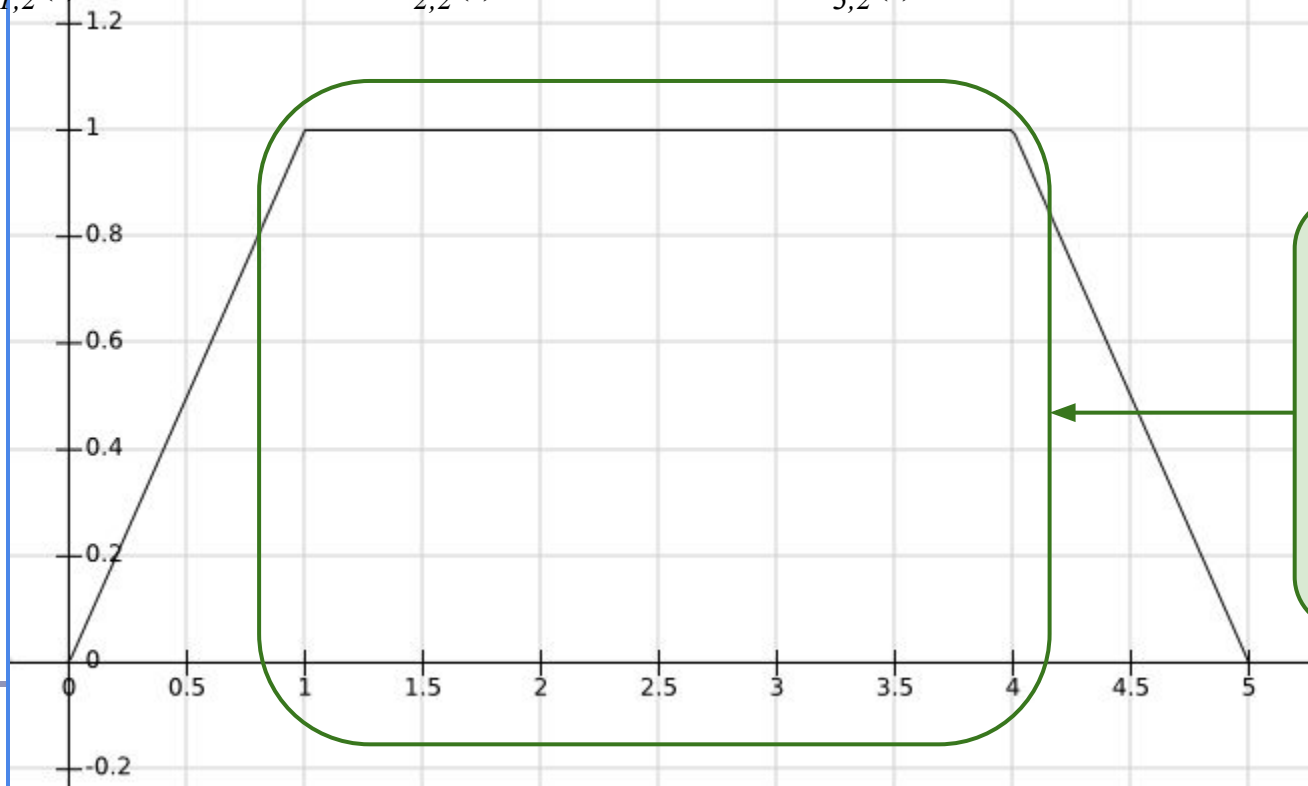
$$N_{3,3}(t) = \frac{t-2}{4-2} N_{3,2}(t) + \frac{5-t}{5-3} N_{4,2}(t) = \begin{cases} (t-2)^2/2 & 2 \leq t < 3 \\ -t^2 + 7t - 23/2 & 3 \leq t < 4 \\ (5-t)^2/2 & 4 \leq t < 5 \end{cases}$$

Knot vector =  $\{0,1,2,3,4,5\}$ ,  $k = 3 \rightarrow d = 2$  (degree = two) <sup>13</sup>

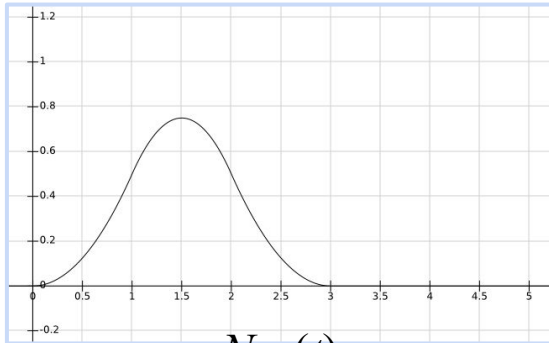
# Basis functions really sum to one (k=2)



=

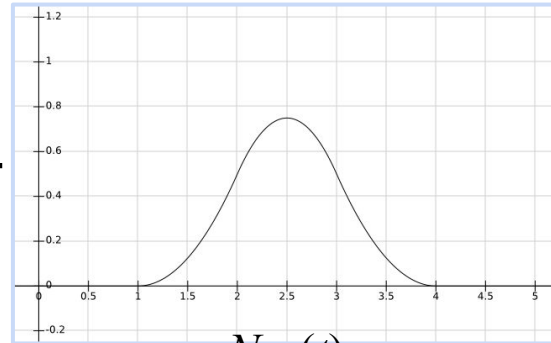


# Basis functions really sum to one (k=3)



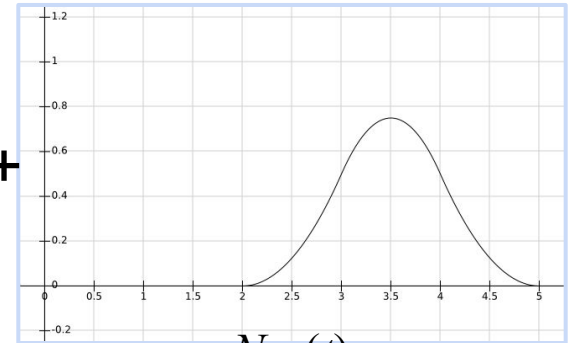
$N_{1,3}(t)$

+



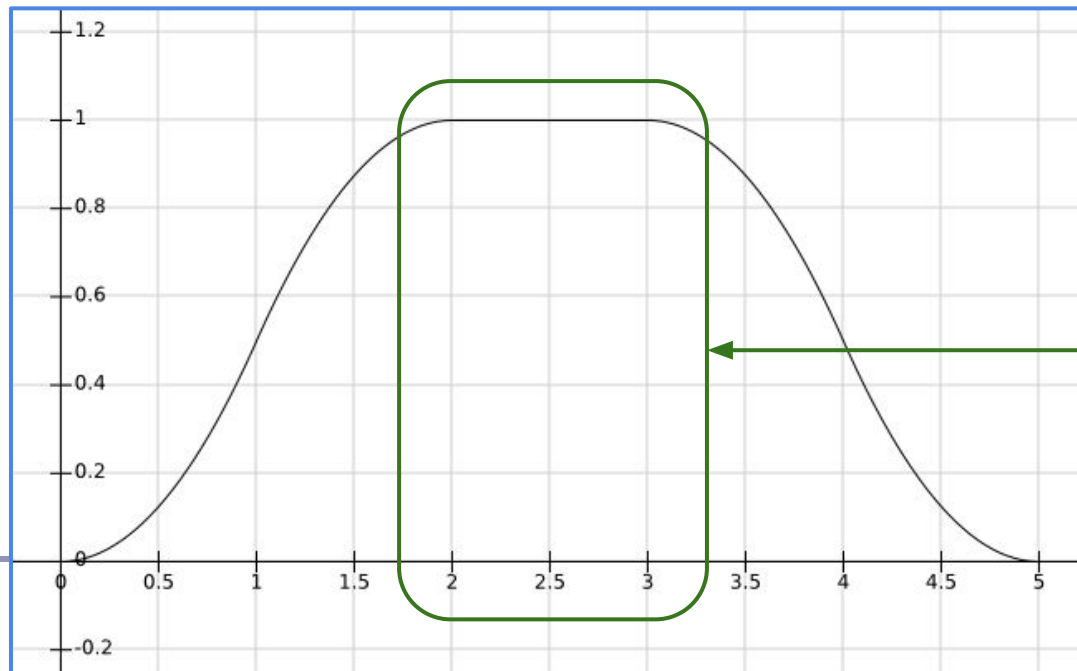
$N_{2,3}(t)$

+



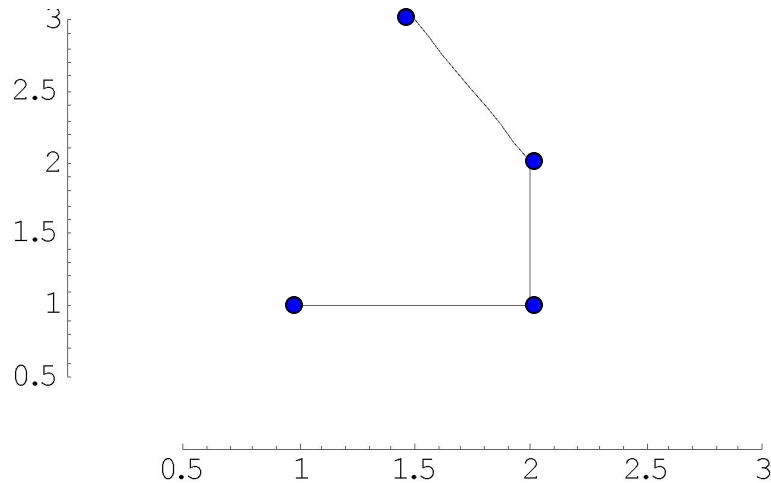
$N_{3,3}(t)$

=

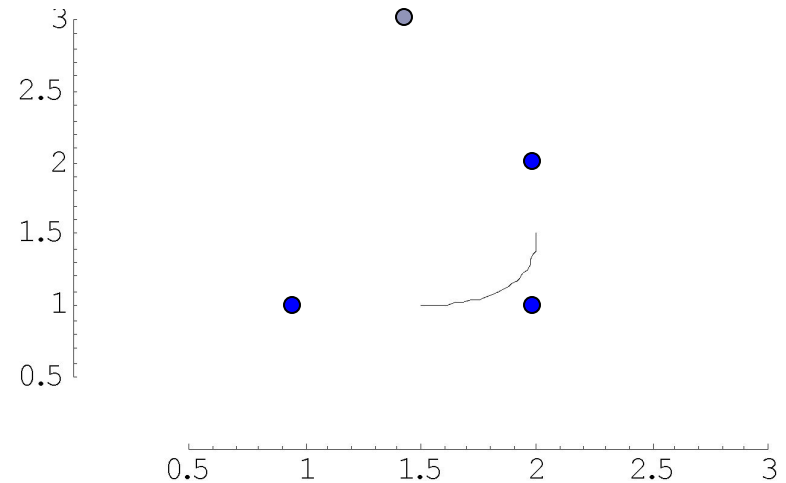


The sum of the three functions is fully defined (sums to one) between  $t_3$  ( $t=2.0$ ) and  $t_4$  ( $t=3.0$ ).

# B-Splines



At  $k=2$  the function is piecewise linear, depends on  $P_1, P_2, P_3, P_4$ , and is fully defined on  $[t_2, t_5)$ .



At  $k=3$  the function is piecewise quadratic, depends on  $P_1, P_2, P_3$ , and is fully defined on  $[t_3, t_4)$ .

Each parameter- $k$  basis function depends on  $k+1$  knot values;  $N_{i,k}$  depends on  $t_i$  through  $t_{i+k}$ , inclusive. So six knots  $\rightarrow$  five discontinuous functions  $\rightarrow$  four piecewise linear interpolations  $\rightarrow$  three quadratics, interpolating three control points.  $n=3$  control points,  $d=2$  degree,  $k=3$  parameter,  $n+k=6$  knots.

Knot vector =  $\{0, 1, 2, 3, 4, 5\}$



## *Non-Uniform B-Splines*

---

- The knot vector  $\{0,1,2,3,4,5\}$  is *uniform*:

$$t_{i+1}-t_i = t_{i+2}-t_{i+1} \quad \forall t_i.$$

- Varying the size of an interval changes the parametric-space distribution of the weights assigned to the control functions.
- Repeating a knot value reduces the continuity of the curve in the affected span by one degree.
- Repeating a knot  $k$  times will lead to a control function being influenced only by that knot value; the spline will pass through the corresponding control point with C0 continuity.

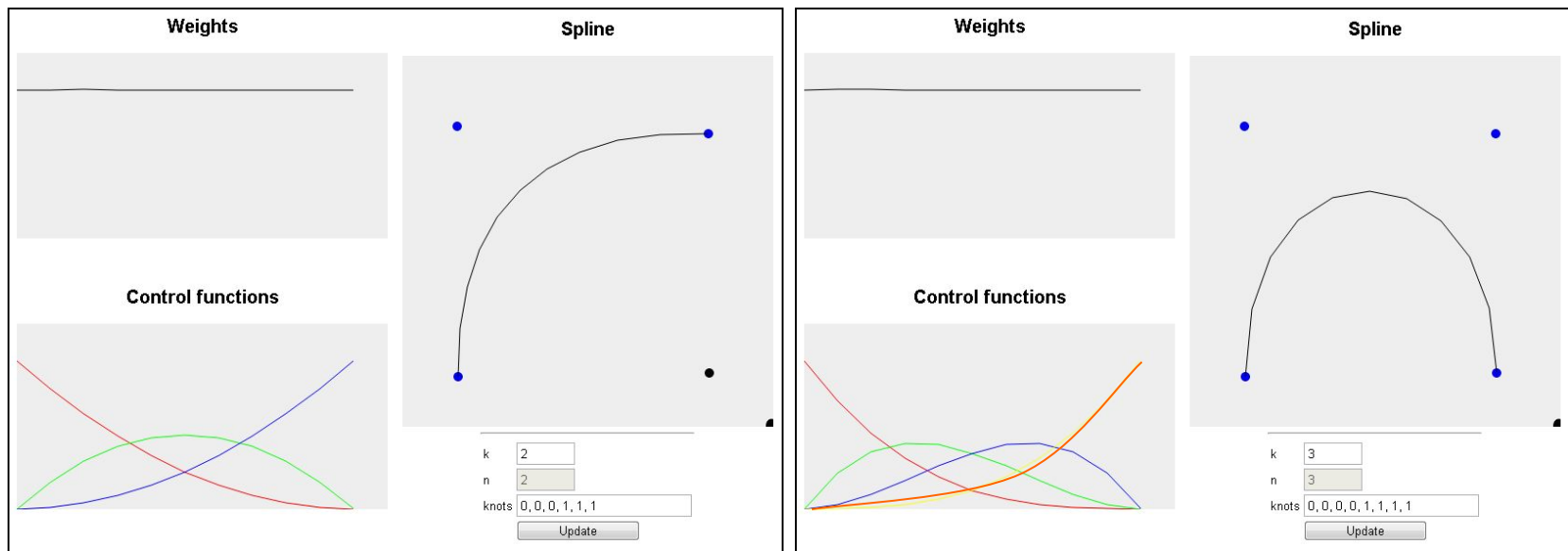
## *Open vs Closed*

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- A knot vector which repeats its first and last knot values  $k$  times is called *open*, otherwise *closed*.
  - Repeating the knots  $k$  times is the only way to force the curve to pass through the first or last control point.
  - Without this, the functions  $N_{1,k}$  and  $N_{n,k}$  which weight  $P_1$  and  $P_n$  would still be ‘ramping up’ and not yet equal to one at the first and last  $t_i$ .

# Open vs Closed

- Two examples you may recognize:
  - $k=3, n=3$  control points, knots= $\{0,0,0,1,1,1\}$
  - $k=4, n=4$  control points, knots= $\{0,0,0,0,1,1,1,1\}$



## Non-Uniform *Rational* B-Splines

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- Repeating knot values is a clumsy way to control the curve's proximity to the control point.
  - We want to be able to slide the curve nearer or farther without losing continuity or introducing new control points.
  - The solution: *homogeneous coordinates*.
  - Associate a 'weight' with each control point:  $\omega_i$ .

# Non-Uniform Rational B-Splines

---

- Recall:  $[x, y, z, \omega]_{\text{H}} \rightarrow [x / \omega, y / \omega, z / \omega]$ 
  - Or:  $[x, y, z, 1] \rightarrow [x\omega, y\omega, z\omega, \omega]_{\text{H}}$

- The control point

$$P_i = (x_i, y_i, z_i)$$

becomes the homogeneous control point

$$P_{iH} = (x_i\omega_i, y_i\omega_i, z_i\omega_i)$$

- A NURBS in homogeneous coordinates is:

$$P_H(t) = \sum_{i=1}^n N_{i,k}(t) P_{iH}, \quad t_{\min} \leq t < t_{\max}$$

# Non-Uniform Rational B-Splines

---

- To convert from homogeneous coords to normal coordinates:

$$x_H(t) = \sum_{i=1}^n (x_i \omega_i) (N_{i,k}(t))$$

$$y_H(t) = \sum_{i=1}^n (y_i \omega_i) (N_{i,k}(t))$$

$$z_H(t) = \sum_{i=1}^n (z_i \omega_i) (N_{i,k}(t))$$

$$\omega(t) = \sum_{i=1}^n (\omega_i) (N_{i,k}(t))$$

$$x(t) = x_H(t) / \omega(t)$$

$$y(t) = y_H(t) / \omega(t)$$

$$z(t) = z_H(t) / \omega(t)$$

# Non-Uniform Rational B-Splines

---

- A piecewise rational curve is thus defined by:

$$P(t) = \sum_{i=1}^n R_{i,k}(t) P_i, \quad t_{\min} < t < t_{\max}$$

with supporting *rational basis functions*:

$$R_{i,k}(t) = \frac{\omega_i N_{i,k}(t)}{\sum_{j=1}^n \omega_j N_{j,k}(t)}$$

This is essentially an average re-weighted by the  $\omega$ 's.

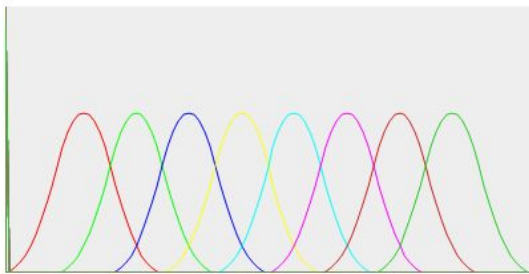
- Such a curve can be made to pass arbitrarily far or near to a control point by changing the corresponding weight.

# Non-Uniform Rational B-Splines in action

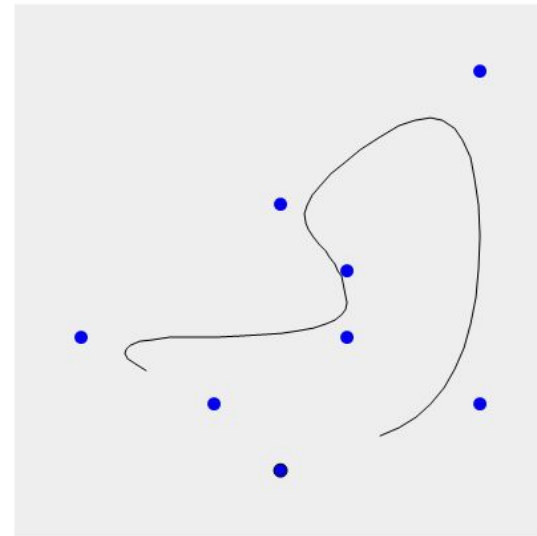
Weights



Control functions



Spline



k

n

knots

weights

Demo



# References

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Demo: <http://geometrie.foretnik.net/files/NURBS-en.swf>

- Les Piegl and Wayne Tiller, *The NURBS Book*, Springer (1997)
- Alan Watt, *3D Computer Graphics*, Addison Wesley (2000)
- G. Farin, J. Hoschek, M.-S. Kim, *Handbook of Computer Aided Geometric Design*, North-Holland (2002)